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## Original Article

# Comparison of galactomannan lateral flow assay and enzyme immunoassay to identify *Aspergillus* spp. in bronchoalveolar lavage fluid

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## ABSTRACT

*Aspergillus* species can colonize and infect immunocompetent and immunocompromised hosts. Conventional fungal identification depends on microscopic analysis and microorganism medium growth. Other diagnostic methods, non-growth dependent, to invasive fungal infections, are the biomarkers that detect circulating polysaccharides, for example, 1-3- $\beta$ -D-Glucan and galactomannan. Both are polysaccharides present on the external layer of fungi cell wall and can be detected in clinical samples during the growth of the fungus in the patient. This study aimed to compare the galactomannan detection of Lateral Flow Assay and Enzyme Immunoassay methods in Bronchoalveolar Lavage Fluid. The galactomannan antigen in Bronchoalveolar Lavage Fluid was measured using Enzyme Immunoassay according to the manufacturer's instructions (PLATELIA ASPERGILLUS™ BioRad) and, using a Lateral Flow Assay according to the manufacturer's instructions (Galactomannan LFA IMMY). The 71 samples were Bronchoalveolar Lavage Fluid of patients hospitalized at Unicamp Clinical Hospital between 2019 and 2021, of these samples 12/71 (16.9%) resulted in positive Galactomannan-Lateral Flow Assay, in contrast, Galactomannan-Enzyme Immunoassay resulted in positive in 9/71 (12.6%) samples, a difference that showed not significant statistically ( $p$ -value = 0.36). Comparing both assays' results identified 8 divergences between them, about 11% of the total sample. The Sensitivity (73.3%), Specificity (92.35%), Positive Predictive Value (62.85%) and Negative Predictive Value (95.15%) of Lateral Flow Assay were calculated using the Galactomannan Enzyme Immunoassay as standard. The Lateral Flow Assay demonstrated good results when compared with the Enzyme Immunoassay.

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## 1 Introduction

2 Fungi are eukaryotic organisms found in the most diverse  
3 habitats.<sup>1</sup> Among the higher clinical relevance pathogenic  
4 fungi are those of the genre *Aspergillus*, filamentous fungi of  
5 environmental origin, responsible for high mortality levels.<sup>2</sup>  
6 The airborne conidia are the infective form, and the pathogen  
7 exposure occurs after the fungi are consumed or inhaled.<sup>3</sup>

8 The clinically relevant fungi are mainly distributed  
9 between the sections Fumigati, Flavi, Nigri, Nidulantes, Usti e  
10 Terrei<sup>4,5</sup> The main aspergillosis-causing species is *A. fumiga-*  
11 *tus*,<sup>6</sup> followed by *A. flavus*,<sup>7</sup> *A. niger*, and *A. terreus*.<sup>8–10</sup> *Aspergil-*  
12 *lus* species can infect and colonize immunocompetent and  
13 immunocompromised hosts.<sup>11</sup>

14 Conventional fungal identification depends on micro-  
15 scopic analysis and microorganism medium growth.<sup>12,13</sup> The  
16 definitive identification consists of the clinical sample culti-  
17 vation and agent growth in culture, which is still considered a  
18 gold standard for laboratory diagnosis of infections.<sup>14</sup>

19 Other diagnostic methods, non-growth dependent on inva-  
20 sive fungal infections, are the biomarkers that detect circulating  
21 polysaccharides, for example, 1-3- $\beta$ -D-Glucan and Galacto-  
22 mannann.<sup>15</sup> Both are polysaccharides present on the external  
23 layer of the fungi cell wall, which can be detected in clinical  
24 samples during the growth of the fungus in the patient.<sup>16,17</sup>

25 While galactomannan is usually detected with an Enzyme  
26 Immunoassay (EIA), the EIA is not broadly available in low and  
27 middle-income countries (LMICs), and turnaround time may be a  
28 limitation.<sup>18</sup> The *Aspergillus*-specific Galactomannan Lateral Flow  
29 Assay (GM-LFA) is a simple and rapid test that may overcome  
30 some of those limitations, as it only requires rudimentary labora-  
31 tory facilities and is featured by rapid turn-around time.<sup>19,20</sup>

32 In this context, new methodologies are promising tools  
33 that reduce the identification time and allow a more precise  
34 and reliable diagnostic. This study aimed to compare the gal-  
35 actomannan detection of LFA and EIA methods in Bronchoal-  
36 veolar Lavage Fluid (BALF).

## 37 Material and methods

### 38 Study design

39 A retrospective analysis was performed in BALF samples to  
40 compare the galactomannan detection of LFA and EIA meth-  
41 ods. This study was approved by the Research Ethics Commit-  
42 tee – CEP from the State University of Campinas, with the  
43 number of Certificate of Presentation of Ethical Review  
44 (CAAE): 79558124.8.0000.5404.

### 45 BALF samples

46 BALF samples were collected between 2019 and 2021 from  
47 patients admitted at the Hospital das Clínicas da Universi-  
48 dade de Campinas (Unicamp) with clinical suspicion of Inva-  
49 sive Pulmonary Aspergillosis (IPA). After the measurement of  
50 GM by EIA, the samples were stored at  $-80^{\circ}\text{C}$  in the Labora-  
51 tory of Molecular Epidemiology and Infectious Diseases,  
52 School of Medical Sciences, Unicamp.

### Galactomannan enzyme immunoassay

53

The galactomannan in BALF was measured by the EIA tech- 54  
nique, according to the manufacturer's instructions (Platelia 55  
*Aspergillus*<sup>TM</sup> BioRad, Hercules, California, U.S.A.). All BALF 56  
samples were tested fresh immediately after collection. The 57  
tests were considered positive if the cutoff value was  $\geq 0.50$  58  
Optical Density Index (ODI). 59

### Galactomannan lateral flow assay

60

The galactomannan antigen in BALF was measured using a 61  
Lateral Flow Assay according to the manufacturer's instruc- 62  
tions (Soña *Aspergillus* GM Lateral Flow Assay – IMMY<sup>®</sup>, Nor- 63  
man, Oklahoma, USA). We used the BALF samples previously 64  
stored at  $-80^{\circ}\text{C}$ . The Sona LFA cube reader (IMMY Diagnos- 65  
tics) was used when reading each LFA to remove subjectivity, 66  
confirm validity, and provide a GM index. The tests were con- 67  
sidered positive if the cutoff value was  $\geq 0.50$  ODI. 68

### Data analysis

69

The diagnostic performance of GM assay in BALF (GM- 70  
Cutoff  $\geq 0.5$  ODI) was evaluated by calculating sensitivity, 71  
specificity, positive predictive value, negative predictive 72  
value, and accuracy, between GM-EIA and GM-LFA, using the 73  
GM-EIA as standard. 74

## Results

75

A total of 71 samples, 37 (52.1%) collected in 2019, 21 (29.6%) 76  
in 2020, and 13 (18.3%) in 2021. The GM-LFA resulted in posi- 77  
tive in 12/71 (16.9%) BALF samples. In contrast, GM-EIA 78  
resulted in positive in 9/71 (12.6%) samples, although the dif- 79  
ference is not statistically relevant ( $p$ -value = 0.36). 80

The results of both tests were primarily consistent, except 81  
for eight samples (11.3%), in which five were positive by LFA 82  
but negative by GM-EIA, and three were negative by LFA but 83  
positive by GM-EIA (Table 1). Of 71 BALF samples, 6 (8.45%) 84  
showed positivity in both techniques GM-EIA and GM-LFA. 85

As shown in Table 1, among the eight samples where the 86  
results of the assays were divergent, five were collected 87

**Table 1 – The divergences between Galactomannan Lateral Flow Assay (GM-LFA) and Galactomannan EIA Immunoassay (GM-EIA) in Bronchoalveolar Lavage Fluid (BALF) (n = 8).**

| Sample | Year | GM-LFA   | Value | GM-EIA   | Value |
|--------|------|----------|-------|----------|-------|
| 5085   | 2019 | Positive | 0.55  | Negative | 0.18  |
| 5088   | 2019 | Positive | 0.63  | Negative | 0.14  |
| 5569   | 2019 | Positive | 0.99  | Negative | 0.06  |
| 6139   | 2020 | Positive | 1.21  | Negative | 0.14  |
| 6788   | 2021 | Positive | 0.62  | Negative | 0.41  |
| 5434   | 2019 | Negative | 0.05  | Positive | 0.87  |
| 5610   | 2019 | Negative | 0.26  | Positive | 5.27  |
| 6912   | 2020 | Negative | 0.49  | Positive | 0.83  |

GM, Galactomannan; LFA, Lateral Flow Assay; EIA, Enzyme Immunoassay.

**Table 2 – Comparison between positive and negative results for GM-LFA and GM-EIA.**

| GM LFA   | GM EIA   |          | Total |
|----------|----------|----------|-------|
|          | Positive | Negative |       |
| Positive | 7        | 5        | 12    |
| Negative | 3        | 56       | 59    |
| Total    | 10       | 61       | 71    |

GM, Galactomannan; ODI, Cutoff Optical Density Index; LFA, Lateral Flow Assay; PPV, Positive Predictive Value; NPV, Negative Predictive Value.

**Table 4 – Comparison between positive and negative results for GM-LFA and GM-EIA using GM-Cutoff  $\geq 1.0$  ODI.**

| GM LFA   | GM EIA   |          | Total |
|----------|----------|----------|-------|
|          | Positive | Negative |       |
| Positive | 4        | 1        | 5     |
| Negative | 3        | 63       | 66    |
| Total    | 7        | 64       | 71    |

GM, Galactomannan; ODI, Cutoff Optical Density Index; LFA, Lateral Flow Assay; PPV, Positive Predictive Value; NPV, Negative Predictive Value.

88 in 2019 (62.5 %). Considering that the GM-EIA assays were per-  
89 formed with fresh samples and the GM-LFA with frozen sam-  
90 ples, this factor can be considered a limitation of the analysis.

91 **Table 2** shows a comparative between the results of both  
92 assays. The sensitivity (73.3 %), specificity (92.35 %), positive  
93 and negative predictive values (62.9%/95.2 %), and accuracy  
94 (90.1 %) for galactomannan lateral flow assay were calculated  
95 using the galactomannan EIA as the golden standard in BALF  
96 (GM-Cutoff  $\geq 0.5$  ODI). The sensitivity and specificity of GM-  
97 LFA were 73.3 and 92.35, respectively.

98 When changing the GM-Cutoff to  $\geq 1.0$  ODI, indicated by  
99 some authors when analyzing BALF samples,<sup>21,22</sup> different  
100 results were found. With the same total of 71 samples, GM-  
101 LFA resulted in positive in 5/71 (7.04 %) BALF samples, while  
102 GM-EIA resulted in positive in 7/71 (9.85 %) samples.

103 Comparing the results of both tests, four samples (5.6 %), in  
104 which one were positive by LFA but negative by GM-EIA, and  
105 three were negative by LFA but positive by GM-EIA (**Table 3**).  
106 Of 71 BALF samples, 4 (5.6 %) showed positivity in both techni-  
107 ques GM-EIA and GM-LFA.

108 **Table 4** shows a comparative between the results of both  
109 assays. The sensitivity (57.14 %), specificity (95.45 %), positive  
110 and negative predictive values (80%/95.45 %), and accuracy  
111 (94.37 %) for galactomannan lateral flow assay were calcu-  
112 lated using the galactomannan EIA as the golden standard in  
113 BALF (GM-Cutoff  $\geq 1.0$  ODI).

## 114 Discussion

115 In this study, we investigated the performance of a new *Asper-*  
116 *gillus* GM-LFA for detecting the GM antigen in BALF in hospi-  
117 talized populations, compared to the GM-EIA.

**Table 3 – The divergences between Galactomannan Lateral Flow Assay (GM-LFA) and Galactomannan EIA Immunoassay (GM-EIA) in Bronchoalveolar Lavage Fluid (BALF) using GM-Cutoff  $\geq 1.0$  ODI (n = 4).**

| Sample | Year | GM-LFA   | Value | GM-EIA   | Value |
|--------|------|----------|-------|----------|-------|
| 5178   | 2019 | Negative | 0.54  | Positive | 2.12  |
| 5610   | 2019 | Negative | 0.26  | Positive | 5.1   |
| 6139   | 2020 | Positive | 1.21  | Negative | 0.13  |
| 6894   | 2021 | Negative | 0.59  | Positive | 1.08  |

GM, Galactomannan; LFA, Lateral Flow Assay; EIA, Enzyme Immunoassay.

We studied 71 samples of BALF from patients with asper- 118  
gilliosis suspicion hospitalized at the Clinical Hospital of Uni- 119  
camp at Campinas, São Paulo – Brazil. Conventional 120  
mycological diagnostics may have insufficient sensitivities to 121  
diagnose Invasive Aspergillosis (IA). Due to the imperfect sen- 122  
sitivity of conventional diagnostic tests,<sup>23,24</sup> serological and 123  
molecular methods have become a cornerstone in diagnosing 124  
IA.<sup>25</sup> Particularly GM testing from BALF and serum is now 125  
widely used for diagnosis and treatment stratification in 126  
IA.<sup>26,19</sup> 127

The development of LFA is seen as an important innova- 128  
tion in terms of mycological sciences.<sup>27</sup> In particular, the prac- 129  
ticality of the test, its early results, and the ability to work in 130  
different body fluids other than serum are among its critical 131  
advantages. 132

Sensitivities and specificities of the GM-LFA found in our 133  
study were comparable with previous studies. In this present 134  
study, the *Aspergillus* LFA test has shown to be a reliable alter- 135  
native with results that strongly correlate with GM-EIA test- 136  
ing, with high sensitivity (73.3 %) and specificity (92.3 %) in 137  
BAL fluid. Jenks et al., using a cutoff of  $> 0.5$ , showed a sensi- 138  
tivity of 89 % and a specificity of 44 % in a total of 296 BALF 139  
samples.<sup>20</sup> In the study of Ghazanfari et al., using a GM index  $\geq$  140  
1.0, found a similar sensitivity (60.6 %) and specificity (88.9 %) 141  
compared to the present; however, they included 33 BALF 142  
samples and used a higher cut-off value,<sup>19</sup> despite that, in 143  
this study, when changing the cut-off value, the sensitivity 144  
decreased (73.3 % to 57.14 %) and the specificity increased 145  
(92.3 % to 95.45 %), also, the positive and negative predictive 146  
values and accuracy increased compared to cut-off of GM 147  
index  $\geq 0.5$ . 148

Conversely, the sensitivity and specificity found by Jani et 149  
al., using the GM-EIA as the gold standard, was higher than 150  
this study, 100 % and 93 %, respectively, in total, 151  
included 90 BALF samples from a cancer population.<sup>28</sup> 152

The study of Jani and collaborators also obtained samples 153  
with divergent results; as of 90 samples, 6 (6.7 %) presented a 154  
different result between LFA and EIA assays, where all sam- 155  
ples showed a negative EIA result and LFA positive. In our 156  
study, 8/71 (11.3 %) of samples were divergent, and 5/71 (7.0 %) 157  
were positive for LFA and negative for EIA, similar to previous 158  
studies. 159

Limitations of our study include the single center, the ret- 160  
rospective design, and the fact that the BALF GM results were 161  
not clinically evaluated with criteria for invasive aspergillosis 162  
or performed other diagnostic methods, such as culture, 163  
microscopy, and molecular biology. Also, the GM-LFA was 164

165 performed using BALF previously stored in an ultra-freezer,  
166 which may have affected the results.

## 167 Conclusion

168 The diagnosis of the etiologic agent of the fungal infection is  
169 the key point for the early determination of the infection and  
170 adequate therapy, the *Aspergillus* Galactomannan LFA with  
171 the reader demonstrated good results when compared with  
172 the GM-EIA. It can be a resourceful tool for IA diagnosis in  
173 BALF samples, the GM-LFA showed to be a rapid test with a  
174 great cost benefit.

175 It is recommended to combine the methods in many stud-  
176 ies, with a larger study population, to provide a better infer-  
177 ence about this new test and provide a superior early  
178 diagnosis for IA.

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## 183 Conflicts of interest

184 The authors declare no have conflicts of interest.

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