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## Brief Communication

# Pneumococcal infective endocarditis in Brazil: a multicenter study on a severe condition

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### ABSTRACT

**Background:** *Streptococcus pneumoniae* bacteremia may result in Infective Endocarditis (IE). In the pre-antibiotic era, it caused 10%–15% of IE, decreasing to < 3% after penicillin availability. Although infrequent, it causes aggressive disease.

**Methods:** Retrospective analysis of endocarditis databases, prospectively implemented in 4 Brazilian institutions, 2005–2023.

**Results:** From the prospective cohorts comprising 2321 adult patients with IE, we identified 11 with pneumococcal IE. Males represented 7/11 and mean age was 54 years (22–77). All had native valve involvement; perivalvular abscess was present in 6/11. Only one patient had concurrent meningitis. Beta-lactams were the antibiotics used in 10/11. All had surgical indication, but only 6 had it, as the others were seriously ill. Overall, in hospital mortality was 6/11, but only 1/6 of those who underwent surgery died, compared to 5/5 of those who had an indication for surgery and did not have it.

**Conclusions:** The high mortality rates and need for surgical intervention emphasize the need to promptly identify and manage pneumococcal endocarditis. Physicians ought to recommend vaccination to all patients at risk for severe pneumococcal disease.

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1 *Streptococcus pneumoniae* is a Gram-positive encapsulated  
2 coccus which belongs to the normal microbiota of the upper  
3 respiratory tract.<sup>1,2</sup> It is the leading etiologic agent of commu-  
4 nity-acquired pneumonia, meningitis, sinusitis, and otitis

media. When it causes bacteremia, secondary complications 5  
such as endocarditis, arthritis, or meningitis may occur.<sup>3,4</sup> 6

Invasive Pneumococcal Disease (IPD) is an infection con- 7  
firmed by isolating *S. pneumoniae* from sterile sites. It remains 8  
a frequent condition in adults in some predisposed groups, 9  
especially elderly patients, those with diabetes, cirrhosis, 10  
chronic renal failure, splenectomy, or functional asplenia, 11  
and HIV positive patients.<sup>3–6</sup> Despite this, infective 12

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13 endocarditis rarely results from pneumococcal bacteremia.  
14 Marrie et al., in Canada, evaluated 3251 adult patients with  
15 IPD and verified that only 28 (0.3 %) developed endocarditis;<sup>7</sup>  
16 these patients were more likely to use illicit drugs and have a  
17 higher severity of illness at presentation (higher rate of  
18 altered mental status and need for intensive care), and higher  
19 mortality (39.3% vs. 14.7 % respectively).<sup>7</sup> However, no other  
20 major risk factors were identified for endocarditis in adults  
21 with IPD.<sup>7</sup> In a prospective, international, observational study,  
22 eight of 844 patients hospitalized with *S. pneumoniae* bacteraemia  
23 only 5 developed IE (0.6%).<sup>8</sup> They did not show greater  
24 mortality (1/5 or 20 % with IE died) or a different clinical pattern,  
25 and the bacterial isolates from the endocarditis cases  
26 showed no specific virulence traits or adherence capabilities.<sup>8</sup>  
27 In a study on IPD in Israel,<sup>9</sup> from 2009–2019, 23/4119 (0.6 %) were  
28 endovascular pneumococcal infections; inhospital mortality was 21.7 %.<sup>9</sup>  
29 In Australia, a retrospective study on pneumococcal bacteremia in adults<sup>10</sup>  
30 included from 2011–2020 found that only 2/300 (0.7 %) were endocarditis cases.<sup>10</sup>  
31 In Brazil, a recent study on IPD in HIV positive patients in a  
32 infectious diseases referral center in Brazil, from 2005–2020,  
33 showed that none of the 55 patients had IE.<sup>5</sup>

34 From a historical perspective, *S. pneumoniae* used to frequently  
35 cause acute endocarditis in the pre antibiotic era,<sup>11</sup>  
36 but the main causative germ shifted to *S.aureus* post the availability  
37 of penicillins in medical practice; moreover, since penicillin  
38 became available, the frequency of pneumococcal endocarditis  
39 decreased from 10 %–15 % to < 3%.<sup>12</sup> Indeed, contemporary  
40 studies from laboratories which have dealt with streptococcal  
41 bacteremia and correlated these infections to endocarditis diagnosis,  
42 have reported different weights to the diverse streptococcal species  
43 and have found *S. pneumoniae* as non-associated to IE.<sup>13,14</sup> This has  
44 led to *S.pneumoniae* being excluded from the typical viridans group  
45 as major criterion in the Duke-ISCVID criteria update in 2023.  
46 However, although pneumococcal IE is relatively uncommon, it is  
47 a severe disease, with inhospital mortality rates that vary from  
48 20.7 % to 39.3 %.<sup>7,9,15,16</sup> Furthermore, it may occur in all  
49 age groups, and may be associated with another severe infection,  
50 meningitis.<sup>17,18</sup>

51 No Brazilian series of pneumococcal IE has been published  
52 so far. We have, therefore, set out to study pneumococcal  
53 endocarditis at 4 sites in Brazil, to estimate its relative frequency,  
54 characteristics and outcomes.

55 This was a retrospective analysis of endocarditis databases,  
56 prospectively implemented, with a *post hoc* study driven by  
57 analysis of cases of pneumococcal endocarditis. Consecutive and  
58 prospective adult patients with definite endocarditis according  
59 to the modified Duke criteria were studied from 2005 to 2023  
60 in 4 Brazilian institutions, all public hospitals, two of which were  
61 cardiac referral centers, and two university hospitals. This is,  
62 therefore, a convenience sample. The study was approved by  
63 each local Ethics Committee.

64 Clinical and laboratory data were collected from patients' notes.  
65 Microbiological data were collected from the results provided  
66 by the Bacteriology Laboratories in an automated system and  
67 from internal laboratory records of each institution. Isolation  
68 of *Streptococcus pneumoniae* by direct inoculation of clinical  
69 samples (blood) onto enriched culture media (chocolate agar  
70 and blood agar) and incubation at 5 % CO<sub>2</sub> and 35±

71 1 °C temperature, within 72 hours of receiving the samples,  
72 previously inoculated into automated culture flasks (Bactec/  
73 Becton Dickson) for up to 5 days. Antimicrobial susceptibility  
74 testing was carried out using the agar diffusion method (disc  
75 diffusion), and determination of the minimum inhibitory  
76 concentration by agar diffusion with a gradient strip. The  
77 interpretative criteria of the CLSI – Clinical and Laboratory  
78 Standards Institute were followed. Molecular tests and serotyping  
79 were not routinely done.

80 Study variables: The study variables were obtained from each  
81 cohort's database and supplemented with data extracted from the  
82 electronic medical records. The following variables were collected:  
83 sex at birth, age at diagnosis, valve affected, presence of  
84 perivalvular abscess, vegetation size, embolization to the central  
85 nervous system or spleen, antibiotics used, duration of treatment,  
86 whether surgery was indicated, creatinine levels on admission,  
87 days of hospitalization and in hospital death outcome. The only  
88 variable obtained retrospectively was the Euroscore on admission.

89 Descriptive statistical analysis (frequencies) and mean, standard  
90 deviation and amplitude statistics were performed using the  
91 Microsoft Excel software.

92 From the prospective cohorts comprising 2321 adult patients  
93 with infective endocarditis, we identified eleven with Pneumococcal  
94 Endocarditis (PE) from 2005 to 2023, from the aforementioned  
95 Brazilian institutions. In institution A, the frequency of PE in  
96 adults was of 7/1154 (0.6 %) of cases, in institution B, of 2/502  
97 (0.4 %), in institution C 1/539 (0.2 %) and in institution D,  
98 1/126 (0.7 %); mean frequency was therefore of 11/2321  
99 (0.5 %). Clinical and laboratory aspects of the cases are shown  
100 on Table 1.

101 The majority, 7/11 were male, with a mean age of 54 years  
102 (range 22–77). Only one patient was splenectomized. All had  
103 native valve left-sided native valve IE, except for one, who  
104 had native tricuspid valve involvement. None had previous  
105 valvular disease. Perivalvular abscess was present in 6/11.  
106 The size of the vegetation was measured in 7 out of 11 patients  
107 (for 2 patients the information was not available, and 2 did not  
108 present vegetations) with an average of 13 mm (4–25). Only  
109 one of the patients presented embolization to the central nervous  
110 system, and none presented emboli to the spleen, or vertebrae.

111 Beta lactams were the antibiotics used in 10/11 patients, and  
112 1 patient used vancomycin; gentamicin was associated in 6  
113 patients. Mean duration of antibiotic therapy was 29 days (4–79)  
114 in 10/11 (in one patient duration of therapy was not available,  
115 and two patients died quickly, at 4 and 6 days of hospitalization).  
116 All patients had surgery indicated, but only six patients  
117 effectively underwent surgery, as 5/11 were not clinically well  
118 enough to be operated. Euroscore II, as a percent chance of  
119 post operative death, was calculated for all patients and  
120 presented in Table 1. Average length of stay was 27 days (4–55),  
121 and 6 out of 11 died; only one patient of the 6 who were  
122 operated died, vs. 5 of the 5 of those who had an indication  
123 for surgery and did not have it.

124 Our multicenter study is the first to describe pneumococcal  
125 endocarditis in Brazil. Similarly to what has been reported in  
126 contemporary series, from Canada,<sup>7</sup> Israel,<sup>9</sup> Australia<sup>10</sup> and  
127 European countries,<sup>9,15–18</sup> we found that pneumococcal IE  
128 was infrequent. However, it was associated with a severe

**Table 1 – Cases of pneumococcal endocarditis in four Brazilian medical centers, 2005–2023.**

| Cases | Year/ Site | Gender | Age (years) | Affected valves  | Perivalvular abscess | Largest vegetation size (in mm) | Antibiotics used                         | Total duration of treatment (days) | Was surgery indicated? | Was surgery done? | Serum Creatinine (mg/dL) | Euro SCORE II | Length of hospitalization (days) | Inhospital death? |
|-------|------------|--------|-------------|------------------|----------------------|---------------------------------|--|------------------------------------|------------------------|-------------------|--------------------------|---------------|----------------------------------|-------------------|
| 1     | 2005 A     | Female | 51          | Native AV        | No                   | NA                              | Vancomycin + gentamicin + ceftriaxone    | 30                                 | Yes                    | Yes               | 0.7                      | 0.86 %        | 30                               | No                |
| 2     | 2007 C     | Male   | 22          | Native MV        | Yes                  | 20 mm                           | Penicillin + gentamicin + ampicillin     | 79                                 | Yes                    | Yes               | 0.8                      | 1.7 %         | 52                               | No                |
| 3     | 2007 B     | Male   | 51          | Native AV and MV | Yes                  | 25 mm                           | Penicillin + gentamicin                  | 41                                 | Yes                    | Yes               | 0.8                      | 3.21 %        | 46                               | No                |
| 4     | 2008 A     | Male   | 75          | Native AV        | No                   | 13 mm                           | Penicillin + gentamicin                  | 11                                 | Yes                    | No <sup>a</sup>   | 2.1                      | 38.61 %       | 11                               | Yes               |
| 5     | 2008 A     | Female | 55          | Native TV        | No                   | 7mm                             | Vancomycin                               | 27                                 | Yes                    | No <sup>a</sup>   | 2.2                      | 31.31 %       | 27                               | Yes               |
| 6     | 2011 A     | Female | 77          | Native AV and MV | No                   | NA                              | Ceftriaxone + gentamicin                 | 12                                 | Yes                    | Yes               | 1.8                      | 21.18 %       | 12                               | Yes               |
| 7     | 2011 B     | Male   | 31          | Native AV        | Yes                  | NI                              | Ampicillin + gentamicin                  | 42                                 | Yes                    | Yes               | 1.1                      | 1.24 %        | 55                               | No                |
| 8     | 2016 A     | Male   | 39          | Native AV and MV | No                   | 4 mm                            | Ceftriaxone + clarithromycin + oxacillin | 37                                 | Yes                    | Yes               | 0.7                      | 8.2 %         | 37                               | No                |
| 9     | 2016 A     | Male   | 65          | Native AV        | Yes                  | 14 mm                           | Ceftriaxone                              | NI                                 | Yes                    | No <sup>a</sup>   | 1.35                     | 10.78 %       | 17                               | Yes               |
| 10    | 2017 D     | Female | 64          | Native MV        | Yes                  | NI                              | Vancomycin + ceftriaxone                 | 6                                  | Yes                    | No <sup>a</sup>   | 1.48                     | 24.72 %       | 6                                | Yes               |
| 11    | 2022 A     | Male   | 71          | Native AV and MV | Yes                  | > 10 mm                         | Ceftriaxone                              | 4                                  | Yes                    | No <sup>a</sup>   | 3.17                     | 18.67 %       | 4                                | Yes               |

Institutions A, B, C and D to be disclosed after review.

NA, Not Available; mm, Millimetres; AV, Aortic Valve; MV, Mitral Valve; TV, Tricuspid Valve.

<sup>a</sup> Seriously ill or critically ill.

status, destructive disease, and death in over half our patients (6/11); all five patients who had indication to operate, but were too poorly to have surgery, died. Daudin et al.<sup>19</sup> found patients with pneumococcal IE, compared to those with other causes of IE, had more heart failure (64.3% vs. 23.2%;  $p < 0.01$ ), shock (53.6% vs. 23.2%;  $p < 0.01$ ), need for cardiac surgery (64.3%) but an inhospital mortality rate of 7.1%, with surgery having a protective effect.<sup>19</sup> We emphasize that all but one of our patients who had surgery died.

Only 4 of our 11 patients were elderly and only one presented comorbidities which involved immunosuppression (splenectomy); none had diabetes, HIV, cancer, or use of immunosuppressive drugs. As a matter of fact, a case-control study, comparing 28 patients with pneumococcal IE with those with other causes of IE (paired only by order of hospital admission) in a teaching center in France,<sup>19</sup> found some differences between groups: in pneumococcal IE patients, there was a higher proportion of alcoholism (39.3% vs. 10.7%;  $p < 0.01$ ) and smoking (60.7% vs. 21.4%;  $p < 0.01$ ). Another older French study found that half of the 30 patients with IE suffered from chronic alcoholism.<sup>15</sup> A study on 111 cases of pneumococcal IE<sup>16</sup> comprising 24 patients from the Spanish cohort (2004 to 2013) and 87 cases from literature review (2000–2013), showed liver disease was present in 27.9%, immunosuppression in 10.8% and splenectomy or asplenia in 8.1%.<sup>16</sup> We have no information on alcohol and smoking in our patients, but 4 of 11 were young and previously healthy. Only one of our patients (Case 9) had had previous splenectomy (as a child), and none of the others had liver disease or immunosuppression.

All our patients had pneumococcal IE on native valves but abscesses were frequent, occurring in 6/11; in Egea et al.,<sup>16</sup> abscesses were found in only 8.1% of the 111 patients, and in 7/30 (23.3%) patients in Lefort et al.<sup>15</sup> This may be due to a referral bias, as two of our centers were reference for cardiac surgery.

Only one of the patients in our series had meningitis (Case 9, who actually had the Austrian syndrome), differently from others.<sup>15–18</sup> This may be because the association is rare (< 5%) and we have only 11 patients in our series. An older series, with 30 adult patients reviewed retrospectively between 1991 and 1998 in France, found that 12 (40%) had associated meningitis.<sup>15</sup> Meningitis concomitant with endocarditis was described as a risk factor associated with death on multivariate analysis with an OR, of 4.3; in this study, meningitis was present in 40.5% of the 111 patients,<sup>16</sup> and Austrian syndrome in 26.1% of them.<sup>16</sup> Other recent series have dealt with this association. A prospective nationwide observational cohort study on patients with community-acquired bacterial meningitis in the Netherlands from 2006 to 2012 identified endocarditis in 24 of 1025 episodes (2%); *S.pneumoniae* accounted for 13 of those (54.2%).<sup>17</sup> Another study looked at the association of IE and meningitis: it was conducted in France, where 2 databases, one from 2008 with patients with IE in seven French regions, and the other with community-acquired acute bacterial meningitis, from 69 French hospitals in 2013–2014, were matched.<sup>18</sup> Among the 1030 patients from the merged cohorts, there were 42 (4.1%) patients who had both IE and meningitis; of these, 18 (42.9%) had *S.pneumoniae* as the causative microorganism and 7 (16.7%) patients with

193 IE and bacterial meningitis presented an Austrian syn-  
194 drome.<sup>18</sup> All patients with *S.pneumoniae* IE and meningitis  
195 were at risk for invasive pneumococcal disease (alcoholism,  
196 smoking, diabetes mellitus and AIDS in varying proportions),  
197 and had a severe presentation (septic shock, heart failure, or  
198 coma), but, surprisingly, none died.<sup>18</sup>

199 Although pneumococcal vaccination is crucial in elderly  
200 patients and those with comorbidities, in our small series, 7 of  
201 our cases had no formal indication for it and for the other four,  
202 vaccination status was not recorded. Only one of our patients  
203 had the pneumococcal serogroup determined (it was the 22F).<sup>20</sup>  
204 We found only one study on pneumococcal serogroups in  
205 endovascular infections (including IE) involving a fair amount  
206 of patients; in this Israeli study, 18/23 had their vaccine status  
207 known but only 4 (22.2%) received the vaccine prior to their  
208 pneumococcal endovascular infection.<sup>9</sup> All medical specialties  
209 must reinforce the need to vaccinate susceptible patients, as  
210 pneumococcal endocarditis is rare, but pneumococcal pneumo-  
211 nia is a very common condition, of potential severity.<sup>3-10,15,16</sup>

212 Limitations of our study are that results may not be gener-  
213 alizable, as the endocarditis cohorts from which patients with  
214 pneumococcal endocarditis were described are followed up in  
215 referral centers, either surgical or university hospitals.  
216 Besides, the sample is a convenience sample and the number  
217 of pneumococcal endocarditis is very small, making strong  
218 assumptions unfeasible.

219 In conclusion, although pneumococcal IE is rare, it should  
220 be sought for in patients with severe pneumococcal infec-  
221 tions, especially if heart murmur and emboli are identified.  
222 The relatively high mortality and need for surgical interven-  
223 tion emphasize the need to promptly identify and manage  
224 pneumococcal endocarditis. Lastly, physicians ought to rec-  
225 ommend vaccination to all patients at risk for severe pneu-  
226 mococcal disease.

## 227 Conflicts of interest

228 The authors declare no conflicts of interest.

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231 We declare no AI tool was used to write or edit this manu-  
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